Freedom of Information Act Request EPA-R5-2013-008937 Enclosure A

Released Documents from EPA Hard Copy Records

No.	Document Type	Author	Description	Pages	Date
1	Inspection Report	Region 5	Redacted Inspection report with attachments	33	3/12/2012
2	Letter	Region 5	Request for Information to Crump Installations	6	5/29/2012
3	Letter	Region 5	Request for Information to Crump Installations	6	6/18/2013
4	Letter	Region 5	Notice of Intent to File Administrative Complaint	3	7/19/2013
5	Email Morgan County		Email containing approximate age of 43 buildings.	6	9/6/2012

			•				•	
		-						
٠								
		٠						
			·					
								·
				·		-		
								·
				•				
					•			•
							,	
						•		
		•						
							*:	
							٠.	
	-							
			•					•

Freedom of Information Act Request EPA-R5-2013-008937 Enclosure B

Redacted Portions of Released Documents from EPA Hard Copy Records

No.	Document Type	Author	Description	Amount Redacted	Date	Exemption
1	Inspection Report	Region 5	Enforcement determination recommended by inspector (line redacted)	1 line	3/12/2012	5, 7(a)
2	Conversation record	Region 5	Attachment D; Notes from inspector describing call (line redacted)	1 line	2/16/2012	5, 7(a)
3	Email	Region 5	Attachment G; Internal email from inspector to team leader containing inspector's findings (page redacted)	1 page	3/19/2012	5, 7(a)

Withheld Documents from EPA Hard Copy Records

1	Memorandum	Region 5	Summary of Report of RRP Compliance containing inspector's conclusions	1 page	3/19/2012	7(a)
2	Worksheet	Region 5	Region 5 Penalty calculation worksheet		5/17/2013	7(a)
3	Notes	Region 5	Notes from Paul Fericelli about Clear Choice response	2 pages	No date	7(a)
4	Document	Region 5	Draft Complaint	16 pages	5/15/2013	5
5	Document	Region 5	Concurrence sheet	1 pages	5/17/2013	7(a)
6	Document	Region 5	TSCA National Strategy Initiative (NSI) form	2 pages	5/30/2013	7(a)



Report of a Tip/Complaint Inspection to Determine Compliance With The Renovation, Repair, and Painting Program Rule 40 CFR 745 Subpart E – Residential Property Renovation

> Roy J. Herman Clear Choice Windows & Siding, Inc. http://clearchoicewindow.com 3010 E. Ash Street Springfield, Illinois 62703 (217) 529-7000

> > March 12, 2012

File Number: 12TL097 ICIS Programmatic ID: 3000001553

Performed by:
U.S. Environmental Protection Agency
Region 5
Land and Chemicals Division
Chemicals Management Branch
77 West Jackson Boulevard
Chicago, Illinois 60604

1. PURPOSE OF INSPECTION

To determine compliance with Section 402(c)(3) of the Toxics Substances Control Act: Renovation, Repair and Painting Rule, codified at 40 CFR Part 745.

Nonresponsive (3 lines redacted)

3. <u>EPA INSPECTOR</u>

William Gomera (312) 886-6016

4. INFORMATION REQUEST LETTER

Information Request Date: 1/26/2012 (Attachment B)

Date of First Contact: The certified letter was signed for on 1/30/2012. (Attachment C) Mr. Herman phoned twice once on 2/16/12. He was given an extension until 3/09/2012. Mr. Herman stated he was not either a certified firm nor a certified renovator. (Attachment D)

5. BACKGROUND INFORMATION

Clear Choice Windows & Siding, Inc. is a home improvement company offering siding and windows. (Attachment E-company background information)

6. <u>RECORDS REVIEW</u>

Mr. Herman attached a letter dated 3/08/2012 which is signed in an envelope within the FedEx box. (Attachment F)

A random sampling (Attachment G) of the documentation Mr. Herman supplied appears on an Excel spreadsheet that has been provided to Team Leader Julie Morris via email. (Mr. Herman shipped a 15# box by FedEx which was received on 3/12/2012 by the Land and Chemicals Division.)

Attachment H represents unread documentation.

7. POST INSPECTION

Mr. Herman did not provide the year built for any address submitted nor did he disclose the contractor(s) he alleges that he uses who are a certified firm/or certified renovator. There are addresses which have received a Renovate Right pamphlet but many did not.

There are several Renovate Right pamphlet receipts but no accompanying purchase order as are there several where the signature is not legible or lacks a date signed.

Ex. 5, 7(a) (1 line redacted)

8. ATTACHMENTS

Nonresponsive (1 line redacted)

- B. Copy of the IRL
- C. Certified Letter Green Card Receipt
- D. Record of Telephone Conversation
- E. Company Background
- F. March 8, 2012 letter from Mr. Herman
- G. Random Sampling of Reviewed Documentation (Excel spreadsheet-emailed to Julie Morris)
- H. Unread documentation

	•	<i>1</i>		
Y CONTRACTOR	1.166.	Marione	Date	3/19/2012
Inspector's Signature:	ما من من من من المن المن المن المن المن	y service La	Date.	11 1 1 1 1 1 1 1

ATTACHMENT B



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590

JAN 26 2012

REPLY TO THE ATTENTION OF

LC-8J

CERTIFIED MAIL Receipt No. 7009 1680 0000 7644 9953

Mr. Roy J. Herman Clear Choice Windows & Siding, Inc. 3010 E. Ash Street Springfield, IL 62703

Re: Request for Information

Dear Mr. Herman;

The U.S. Environmental Protection Agency, Region 5, is contacting you in response to a complaint received by our office that alleges non-compliance with the Lead Renovation, Repair, and Painting Program Rule (RRP Rule), and the Lead Based Paint Pre-Renovation Education Rule (PRE Rule). Together the RRP Rule and PRE Rule are known as the Residential Property Renovation Rule, and is codified at 40 C.F.R. Part 745, Subpart E.

The Residential Property Renovation Rule requires that anyone who performs a renovation for compensation in housing built prior to 1978 (target housing) or in a child-occupied facility must provide an EPA lead-hazard information pamphlet to the owner and occupant of the housing prior to commencing the renovation activity, must have received certification as both a renovator and firm prior to commencing the renovation activity, and must follow specified warning, containment, work practice, cleanup and clearance requirements during the renovation.

EPA needs additional information to determine your compliance with the Residential Property Renovation Rule; therefore, we have enclosed a Request for Information (Enclosure 1). We have also enclosed a declaration (Enclosure 2) for you to complete and return, along with any documents, and your responses to questions.

Pursuant to the regulations appearing at 40 C.F.R., Part 2, Subpart B, you are entitled to assert a business confidentiality claim covering any part of the submitted information. Unless such a confidentiality claim is asserted at the time the required information is submitted, EPA may make this information available to the public without further notice to you. Information subject to a business confidentiality claim may be made available to the public only to the extent set forth in the above-cited regulations. Any claim for confidentiality must conform to the requirements set forth in 40 C.F.R. § 2.203(b).

Even though EPA may determine, under the criteria provided by 40 C.F.R. § 2.208, that certain information may not be entitled to business confidential treatment, EPA may nevertheless decide that disclosure of this information may constitute an invasion of privacy and, on that basis, may withhold it from disclosure to the general public. Such personal privacy information may include social security numbers, current addresses, or other personal information related to a customer. It is not necessary for a business confidentiality claim to be made for EPA to withhold personal privacy information. Whether you choose to make a business confidentiality claim or not, please clearly indicate personal privacy information contained in your response so that EPA can evaluate whether the information constitutes an invasion of privacy.

EPA may use the information you provide in response to this request in an administrative, civil or criminal action. This request for information is not subject to the requirements of the Paperwork Reduction Act, 44 U.S.C. § 3501, et seq., because it seeks the collection of information from specific individuals or entities as part of an administrative action or investigation.

Please submit the requested information to:

Bill Gomora (LC-8J)
Pesticides and Toxics Compliance Section
U.S. EPA, Region 5
77 West Jackson Blvd.
Chicago, Illinois 60604

Mr. Gomora can be contacted by telephone at (312) 886-6016.

Your response to this Request for Information is voluntary. If you choose to respond, please do so within 15 days of receipt of this letter. If we have not received your response to this letter or you otherwise have not contacted us within this period, EPA will presume that you choose not to respond to this request. If you choose not to respond, EPA will consider other options for obtaining this information, and may issue a subpoena under authority of Section 11(c) of TSCA, 15 U.S.C. § 2610(c), seeking the same or similar information.

We have also enclosed an information sheet entitled "U.S. EPA Small Business Resources," which you may find helpful if you are a small business.

Thank you for your cooperation in this matter.

Sincerely,

David Star

Chief

Pesticides and Toxics Compliance Section

Enclosures

ATTACHMENT C

SENDER: COMPLETE THIS SECTION Complete Items 1, 2, and 3. Also complete Item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or en the front if space permits. Ariele Addressed to: Mr. Roy J. Herman Clear Choice Windows & Siding, Inc.	A. Received by (Please Print Clearly) B. Data of Delivery C. Signature D. Is delivery address different from item 17 If YES, erriter delivery address below:
3010 E. Ash Street Springfield, Illinois 62703	Service Type LS Certified Mail □ Express Mail □ Registered ☑ Return Receipt for Merchandise □ Insured Mail □ C.O.D.
	4. Restricted Delivery? (Extra Fee) ☐ Yes
2. Article Number 7009 16 (Transfer irom service label)	80 0000 7644 9953
PS Form 3811, March 2001 ' Domestic Re	turn Receipt 102595-01-M-142

ATTACHMENT D

				100.00
CONVERSATION REC	· · ·	TIME	DATE	
TYPE DVISIT DCONFERENCE	E TELEPHONE	10:254	3/06/12	
			SE INCOMING	ROUTING
NAME OF VINCONIA			□ outgoing	
NAME OF PERSON(S) CONTACTED OR IN CONTACT WITH YOU	ORGANIZATION (off	lice, dept, bureau, esc.)	TELEPHONE NO.	NAME /
D. s. Hod.	÷12- 40 .		,	SYMBOL
Roy HEEMAN	Ellar Choice	Windows	217529-7000	
SUBJECT D did Total			hay	
SUMMARY RAY IKL				
4				
- admits not being	E A	se - believe	he just writed	£.
agod hum and	world lacery	fined - a	ye 60, business	ust
good for anyeary	es one with	when loye	es The officer 200	ft. E.
	. /			-
	-			
	-		,	
		1		
				 -
				·
ACTION REQUIRED	<u> </u>			- · ·
	Section of the sectio			
NAME OF PERSON DOCUMENTING CONVE	RSATION	SIGNATURE		DATE
				PAIE
ACTION TAKEN				
		•	, .	
SIGNATURE		TITLE		Date
		*		DATE

.

CONVERSATION RE	CODD	CONTRACTOR AND ADDRESS OF THE PARTY OF THE P	NAME OF THE PARTY				
		TIME	DATE				
TYPE D VISIT DONFERENCE	□ TELEPHONE	2:100	2/16/12				
			☐ INCOMING .	ROUTING			
			M OUTCOING				
NAME OF PERSON(S) CONTACTED OR IN CONTACT WITH YOU	ORGANIZATION (on	ice, dept, bureau, etc.)	TELEPHONE NO. 1	NAME/			
	Clear Cho	t ² C	to	SYMBOL			
Key Herman	WINDOWS	•	217 529-7000				
SUBJECT	щ			+-+-			
ERP IKL 1	on due He	4/12-					
SUMMARY							
- told me that he has	ed been on No	restron and	Hidrit lieve the	1.			
I look of the litt	told use 1	hat he led.	A Bearing	77 -			
THEALLY TO WE CONTEN	is address. I am	the star Ouch		1 2			
han he viner aback	The RRY RU	de he had	Treast down The	` 			
The world was he	e a considera	17 1 AN 1 87 1 12	a lit il der com d	7			
The starce he had a	enother cont	LECTOR WITCH	The could to a				
when he ruspector	The to that.	I would been	a contil alasto	<u></u>			
respond the said	a would well	Reguested 1	LATELANDER LANGE	c. 0			
tomorrow 417, he	went on to	say That to	here about he a	Large			
agreed stople like	e us // EPA)	to account	somber for each	وستتي "			
I hus rousiness. his	Bisseries le	to dever 38°	To from lot				
- Mr Actionen alitared	pack at 2 t	10 BM 2/16/2	7 1. 6.0 -	- L- L-			
- re max was spore	- with te	So he riest	looked on littles.				
Tack the court in is	in Could a	it all unto	to we kee the	1101-			
400 jobs since 4	122/10 gar	it him any	extension until	3/09.			
			-				
ACTION REQUIRED			·				
NAME OF PERSON DOCUMENTING CONVERSATION SIGNATURE							
		SIGNATURE		DATE			
ACTION TAKEN				<u></u>			
	<u> </u>	-18					
SIGNATURE		Treat to		<u> </u>			
		TITLE	1	Date			

Trans

ATTACHMENT E



Better Business Bureau-

BBB BUSINESS REVIEW

THIS BUSINESS IS NOT BEB ACCREDITED Clear Choice Windows & Siding, Inc.

(217) 522-6666 3010 East Ash, Springfield, IL 62703



On a scale of A+ to F Reason for Rating BBB Ralings System Overview

BBB Accreditation

Clear Choice Windows & Siding, Inc. is not BBB Accredited.

Businesses are under no obligation to seek BBB accreditation, and some businesses are not accredited because they have not sought BBB

To be accredited by BBB, a business must apply for accreditation and BBB must determine that the business meets BBB accreditation standards, which include a commitment to make a good faith effort to resolve any consumer complaints. BBB Accredited Businesses must pay a fee for accreditation review/monitoring and for support of BBB services to the public.

Reason for Rating

BBB rating is based on 16 factors. Get the details about the factors considered,

Factors that raised Clear Choice Windows & Siding, Inc.'s rating include:

Length of time business has been operating. Complaint volume filed with BBB for business of this size. Response to 5 complaint(s) filed against business. Resolution of complaint(s) filed against business. Resolution of complaint(s) filed against business. Resolution of complaint(s) filed against business.

Customer Complaints Summary

5 complaints closed with BBB in last 3 years | 0 closed in last 12 months

Complaint Type	Total Closed Complaints
Guarantee / Warranty Issues	1 1
Problems with Product/Service	4 ,
Advertising / Sales Issues	0
Billing / Collection Issues	D
Delivery Issues	0 -
Total Closed Complaints	5

Government Actions

BBB knows of no significant government actions involving Clear Choice Windows & Siding, Inc.,

What government actions does 858 report on?

Advertising Review

BBB has nothing to report concerning Clear Choice Windows & Siding, Inc.'s advertising at this time.

What is BBB Advertising Review?

Additional Information

SBB file opened: 10/13/2006 Business started: 01/10/2005 New Owner Date: 01/10/2005

This company is in an industry that may require licensing, bonding or registration in order to lawfully do business. BPB encourages you to check with the appropriate agency to be cortain any requirements are currently being met.

Type of Entity Corporation

Incorporated: January 2000, IL.

Confact Information

Primary Contact Mr. Roy Herman Complaint Contact Ms. Sharon (Secretary)

Business Category

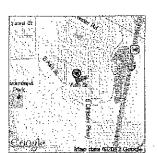
Home Improvements

Products & Services

This company offers siding and windows.

Industry Tips

BBR Warns Spring Time Brings Home Improvement Scams .
Home Improvements
Safe & Sound: Securing Your Home
Vrinterizing Your Home



© 2012 Better Business Bureau®, Inc. | #90001871 In Central Illinois



SERVICES

PROGRAMS

RESS PUBLICATION

DEPARTMENTS

CONTACT

CORPORATION FILE DETAIL REPORT

Entity Name CLEAR CHOICE WINDOWS & SIDING INC.		File Number	64641794	
Status	NOT GOOD STANDING		, -	
Entity Type	CORPORATION	Type of Corp	DOMESTIC BCA	
Incorporation Date (Domestic)	01/24/2008	State	ILLINOIS	
Agent Name .	Agent Name . ROY J HERMAN		07/27/2010	
Agent Street Address	3010 E ASH	President Name & Address	ROY HERMAN 11225 WHITE TAIL CREEK LN DAWSON 62520	
Agent City SPRINGFIELD		Secretary Name & Address	ROY HERMAN 11225 WHITE TAIL CREEK IN DAWSON 62520	
Agent Zip	62703	Duration Date	PERPETUAL.	
Annual Report Filing Date	00/30/0900	For Year	2012	

Return to the Search Screen

BACK TO CYBERDRIVELLINOIS.COM HOME PAGE

Clear Choice Windows & Siding INC

- Services
- Contact



Clear Choice Windows & Siding INC. can help when you need professional siding services! We offer a proven track record of quality service in the industry.

Sales and Installation

- State-of-the-art materials
- · Seamless siding
- Seamless gutters
- Window replacements
- Hidden bracket system
- 15 percent larger gutters
- Provides better dreinage
- 3 by 3 super downspout
- 33 percent larger than our competitors
- Streamlined look

Power Two is Featured Window

- 6 different exterior colors
- Wood grain look or plain interior
- R 10 value glass for better insulation
- Aluminum exterior for more durability and vinyl interior
- Double hung panes tilt in for easy cleaning
- Custom sizes available
- We measure to ensure proper fit!
- Patio doors available

Window Replacements:

TracoTM Brand • Single or Double Hung Encasements • Awnings • Pictures Bow & Bay Windows - All Custom Measured and Ordered

Call Clear Choice Windows & Siding INC. at (217) 529-7000 to schedule a free estimate!

Clear Choice Windows & Siding INC.

3069 South Dirksen Parkway Springfield, Illinois 62703 (217) 529-7000 Fax: (217) 529-7006 royherman l@apl.com

Business Hours

Monday-Friday, 8 a.m. to 5 p.m. Satirday, 9 a.n., to 2 p.m.

Affiliations

Better Business Bureau

Licensed, Insured

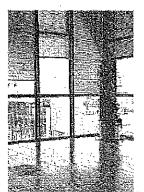
- HomeServicesContact

Clear Choice Windows & Siding INC.

- Home
- Services
- Contact



Clear Choice Windows & Siding INC. offers the best in retrofit vinyl windows, sliding glass doors, and installation services in Springfield, Illinois.



Clear Choice Windows & Siding INC, manufactures insulated energy-efficient vinyl windows and sliding doors. Unlike aluminum windows, our vinyl windows help to keep your house dust-free. They also help to maintain the temperature in your home by featuring dual glaze for better insulation, and are guaranteed to pay for themselves due to the low electricity bills you'll receive.

We have more than 6 years of insulation experience and Clear Choice Windows & Siding INC, gets the job done right in a timely matter. Count on us for excellent customer service and free estimates. We show pictures of previous work before we even start new projects. Our manufacturing area and showroom are in the same building, so stop by and see us in action.

Clear Choice Windows & Siding INC. is your answer for residential and commercial seamless siding sales and installation, as well as seamless gutters, windows, and patio doors. Unlike vinyl siding with seams every 12 feet, we can run a 40 to 50 foot panel with no seams. Our windows and gutters are also seamless, and we offer top-quality patio doors.

Call Clear Choice Windows & Siding INC. at (217) 529-7800 to schedule a free estimate!

Clear Choice Windows & Siding INC.

3069 South Dirksen Parkway Springfield, Illinois 62703

1/23/2012

Clear Choice Windows & Siding INC., vinyl windows, sliding glass doors, and more, Spr... Page 2

(217) 529-7000 Fax: (217) 529-7006 roylicman I @aol.com

Business Hours

Monday-Friday, 8 a.m. to 5 p.m. Sabriday, 9 a.m. to 2 p.m.

Affiliations

Better Business Bureau

Licensed, Insured

- Home
 Services
 Contact

Springfield, Illinois ~ (217) 529-7000 Clear Choice Windows & Siding

Inc.







Professional Window Installations

Patio Doors

Vlnyl Siding

Custom Windows

At Clear Choice Windows & Siding, we place no limit on the size or number of windows we will cut and install for you. With over 17 years of experience, our trained staff will do it right the first time, and we are here to answer any of your questions and concerns. We will come to you, measure the area, and discuss what you want to do. If you are interested in our vinyl siding, we will bring samples for you to choose the look that is right for your home. Call us first to add beauty to your new home or to change the look of your home.



Our Products and Services Include

Install Patio Doors

- Sliders & Patio Doors
 - o Energy Efficient
- Different Tintings
- Different Wood Grains

Siding

- Free Estimates
 - o Siding Sales
 - o Installation
- · Specialize in Vinyl Siding.
- Lifetime Warranty
 - For Your Protection
- Colors to Match Your Home
- Many Types of Profiles
- Triple 3 to 8" Siding

Brand Names

- Astoria™ Brand
 - o Casements and Awning
- Excel Plus[™]
 - o Double Hung Windows and Stiders
- Colonial Brickmould™
 - Single Hung Windows

- Windows
 - White Double Hung Windows
 - o Starting at \$189.00 Installed
 - o Any Size
 - Single Hung Windows
 - Standard Up and Down Windows
 - Double Hung
 - o Tilt in to Clean
 - o Convenient for Cleaning
 - Wood Grain Applications
 - Manufactured by Nu-Sash™
 - In Business since 1934
 - o Highest Grade
 - Window
 - Sash
 - Triple Pane Window
 - o Has an Arc Insulation Value
 - Keeps Heat inside the Home
 - Keeps Freezing Cold Out
 - Crank Out Windows
 - Custom-Built Windows
 - Our Specialty
 - Measured to Your Needs
 - The Prestige and the Stafford
 - The Highest Grade
 - Nu-Sash™ Windows

Contact us in Springfield, Illinois, for custom windows, patio doors, and vinyl siding.

Home | Windows | Coupon | Location | Contact Us

Clear Choice Windows & Siding Inc. 3069 South Dirksen Parkway Capital City Shopping Center Springfield, it. 62703-4502

Phone: (217) 529-7000 Fax: (217) 525-7006 rowherman1@aol.com

Copyright & 2009 by Web.com Group, inc.

3,,,,,

ATTACHMENT F

CLEAR CHOICE WINDOWS & SIDING, INC. 3010 East Ash Springfield, Illinois 62703



March 8, 2012

To Whom it May concern:

Clear Choice Windows & Siding, Inc., has 3 full-time employees, 1 subcontracted sales person and 3 subcontracted installation companies.

In the year 2010 we handed out pamphlets and had customers sign them. In 2011 I had contracts made for the customer to verify the year the house was built and if it tested positive or negative for lead.

We did not perform any installations on lead positive homes to my knowledge – I can only go by what my people tested. My understanding was that since we were not doing installations on lead positive boxes we did not need to register this company.

It was also my understanding, since we were not installing lead positive, we did not have to give out the pamphlets. The installation crews we use are certified for lead nevertheless and they practice lead safe installations on older homes to the best of my knowledge.

We have no employees who install windows, only contracted companies. Therefore I feel I am within the boundaries of the lead safe act.

I will wait for your response.

Sincerety,

Roy J. Herman President

RJH:sat

Clear Choice Windows & Siding, Inc. 3010 East Ash, Springfield, IL 62703 217.529,7000 or Toll Free 1.866.744.4470

Enclosure 2

IN THE MATTER OF:

Mt. Roy J. Herman Clear Choice Windows & Siding, Inc. 3010 E. Ash Street Springfield, IL 62703

Declaration Responding to Information Request

I, the undersigned, state as follows:

- I have made a diligent search and inquiry for all information and documents that are requested in the request for information to which this declaration responds. I have not found or been told about, and have no knowledge of, any information or documents requested by the request for information that I have not identified, copied, and submitted along with this declaration. I have not destroyed any requested documents or transferred any requested documents to any other person or party in the last three years.
- 2. Attached to this declaration are _____ pages of document photocopies. The attached pages are true, complete, and correct copies of documents that I presently have in my custody or control either personally, or as an agent, representative, or employee of a business entity in which I had or have a financial or ownership interest.
- 3. The information and documents were prepared and kept, or were received and kept, in the usual course of regularly conducted business.
- 4. All the responses to the inquiries contained in the request for information are true, complete, and correct.

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

To The Best of my HNDWCepge

Executed on 3/1/12
Date

Signature:

Office or Title: Secs 1 Dew

ATTACHMENT G

Clear Choice Windows 3010 E. Ash Springfield IL 62703

To Paul Fericelli.

As requested

- #1 The contractor certification
- #2 The salesman certification
- #3 The picture of the test kit
- #4 The subcontractor sales person was

Global Construction Group Inc. PO Box 226 Glen Carbon II. 62034

Vic Heka owner retail sales

We contracted this company in 2008 thru 2010

6 PABEL

Instructor Kyle Gunion

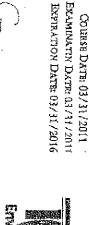
CERTIFICATE OF ATTENDANCE SUCCESSFUL

I AHLOWIL BAKER

4216 SHERMAN RD. SHERMAN, IL 62684

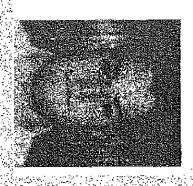
CERTIFICATE NUMBER: R-I-8593-10-10925

RENOVATOR INITIAL— ENGLISH PER 40 CFR PART 745,225





Kansas City, MO 64111 Phone: 816-960-4675 Fax: 816-960-4860 PO Box 410295



January 9, 2012

SALE PRINCIPLE TO THE PRINCIPLE PARTY OF THE PRINCIPLE P



Michelle Price, Chief

sad, Heavy Metals, and Inorganics Branc

Certificate Number

R-I-18615-10-01398

04/17/2015

Expires:

TRAINING PROGRAM

CERTIFICATE OF COMPLETION

This is to certify that.

Richard B. Waller

EPA Lead Renovator One Day (8-hour) Training Program for Lead Safety for Remodeling, Repair and Painting in accordance with 40 CFR Part 745.90 (A); and successfully passed on 04/17/2010 the examination with a minimum score of 70%. has completed the

Course Dates:

04/17/2010

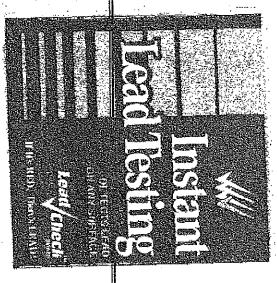
Director of Training Nicholas J. Peneff

Phone Number: (312) 421-7397

Form# L-0211



Doctor of Public Health



Kyle Gunlen Instructor

ATTENDANCE

DAVID R CARPENTER

105 MAIN ST, WANCHESTER IL 62663

TFICATE NUMBER: R-I-8593-10-10464

Renovator Initial—English Per 40 CFR Part 745,225



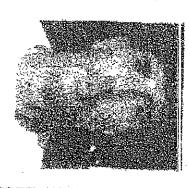
Couxse Date 01/15/2011 Exammaten Date 01/15/2011 Experation Date 01/15/2016

PO Box 410295

Namas City, MO 64111

Photo: 816-960-4675

Pax: 816-960-4860



SON FARAY



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590

JUN 1 8 2012

REPLY TO THE ATTENTION OF:

LC-8J

CERTIFIED MAIL

Receipt No. 7009 1680 0000 7673 8094

Mr. Daniel A. Crump Crump Installations PO Box 94 Boody, Illinois 62514

Re: Request for Information

Dear Mr. Crump:

The U.S. Environmental Protection Agency, Region 5, is contacting you in response to a complaint received by our office that alleges non-compliance with the Lead Renovation, Repair, and Painting Program Rule (RRP Rule), and the Lead Based Paint Pre-Renovation Education Rule (PRE Rule). Together the RRP Rule and PRE Rule are known as the Residential Property Renovation Rule, and are codified at 40 C.F.R. Part 745, Subpart E.

The Residential Property Renovation Rule requires that anyone who performs a renovation for compensation in housing built prior to 1978 (target housing) or in a child-occupied facility must provide an EPA lead-hazard information pamphlet to the owner and occupant of the housing prior to commencing the renovation activity, must have received certification as both a renovator and firm prior to commencing the renovation activity, and must follow specified warning, containment, work practice, cleanup and clearance requirements during the renovation.

EPA needs additional information to determine your compliance with the Residential Property Renovation Rule; therefore, we have enclosed a Request for Information (Enclosure 1). We have also enclosed a declaration (Enclosure 2) for you to complete and return, along with any documents, and your responses to questions.

Pursuant to the regulations appearing at 40 C.F.R., Part 2, Subpart B, you are entitled to assert a business confidentiality claim covering any part of the submitted information. Unless such a confidentiality claim is asserted at the time the required information is submitted, EPA may make this information available to the public without further notice to you. Information subject to a business confidentiality claim may be made available to the public only to the extent set forth in the above-cited regulations. Any claim for confidentiality must conform to the requirements set forth in 40 C.F.R. § 2.203(b).

		·		•				
				,				
								· · · · · ·
			•					
				,				
	•							
						,		
		٠						
							•	
			,					
					·			

Even though the EPA may determine, under the criteria provided by 40 C.F.R. § 2.208, that certain information may not be entitled to business confidential treatment, the EPA may nevertheless decide that disclosure of this information may constitute an invasion of privacy and, on that basis, may withhold it from disclosure to the general public. Such personal privacy information may include social security numbers, current addresses, or other personal information related to a customer. It is not necessary for a business confidentiality claim to be made for EPA to withhold personal privacy information. Whether you choose to make a business confidentiality claim or not, please clearly indicate personal privacy information contained in your response so that EPA can evaluate whether the information constitutes an invasion of privacy.

EPA may use the information you provide in response to this request in an administrative, civil or criminal action. This request for information is not subject to the requirements of the Paperwork Reduction Act, 44 U.S.C. § 3501, et seq., because it seeks the collection of information from a specific individual or entity as part of an administrative action or investigation.

Please submit the requested information to:

Paul Fericelli, Enforcement Officer (LC-8J) Pesticides and Toxics Compliance Section U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, Illinois 60604

Mr. Fericelli can be contacted by telephone at (312) 886-1824.

Your response to this Request for Information is voluntary. If you choose to respond, please do so within 15 days of receipt of this letter. If we have not received your response to this letter or you otherwise have not contacted us within this period, EPA will presume that you choose not to respond to this request. If you choose not to respond, EPA will consider other options for obtaining this information, and may issue a subpoena under authority of Section 11(c) of TSCA, 15 U.S.C. § 2610(c), seeking the same or similar information.

We have also enclosed an information sheet entitled "U.S. EPA Small Business Resources," which you may find helpful if you are a small business.

Thank you for your cooperation in this matter.

Pamela Grace

David Star

Chief

Pesticides and Toxics Compliance Section

Enclosures (3)

REQUEST FOR INFORMATION

I. INSTRUCTIONS

This information request letter covers all information, documents and/or records described below that are in your possession or control, or in the possession or control of your current or former employees, agents, contractors, accountants or attorneys. If you choose to provide documents in an electronic format, all responsive documents must be provided in Portable Document Format (PDF) or similar format.

II. DEFINITIONS

The terms used in this Information Request Letter have the meanings given in Section 402(b) and 402(c)(3) of the Toxic Substances Control Act and 40 C.F.R. Part 745, Subparts E, F, and L.

- 1. Firm means a company, partnership, corporation, sole proprietorship or individual doing business, association, or other business entity; a Federal, State, Tribal, or local government agency; or a nonprofit organization.
- 2. Renovation means the modification of any existing structure, or portion thereof, that results in the disturbance of painted surfaces, unless that activity is performed as part of an abatement as defined by 40 C.F.R. § 745.223. The term renovation includes but is not limited to: the removal, modification, or repair of painted surfaces or painted components (e.g., modification of painted doors, surface restoration, window repair, surface preparation activity (such as sanding, scraping, or other such activities that may generate paint dust)); the removal of building components (e.g., walls, ceilings, plumbing, windows); weatherization projects (e.g., cutting holes in painted surfaces to install blown-in insulation or to gain access to attics, planing thresholds to install weather stripping); and interim controls that disturb painted surfaces.
- 3. Renovator means an individual who either performs or directs workers who perform renovations. A certified renovator is a renovator who has successfully completed a renovator course accredited by EPA or an EPA-authorized State or Tribal program.
- 4. Target housing means any housing constructed prior to 1978, except housing for the elderly or persons with disabilities (unless any child who is less than 6 years of age resides or is expected to reside in such housing) or any 0-bedroom dwelling, as defined in Section 1004(27) of the Residential Lead-Based Paint Hazard Reduction Act of 1992, 42 U.S.C. § 4851, and 40 C.F.R. § 745.103.

III. INFORMATION AND DOCUMENTS TO BE PROVIDED TO EPA

The information requested covers all renovation projects of target housing and child-occupied facilities for compensation performed by you since <u>April 22, 2010</u>, unless otherwise specified, in the States of Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin.

- 1. Provide a description of the type of work that your firm performs.
- 2. How many people are employed at your firm?
- 3. Provide a copy of the following:
 - a. Your EPA RRP firm certification; and
 - b. Your renovator certification issued by a training provider showing completion of a renovator lead safe training course.
- 4. For each renovation/contracting job you have done, including subcontracting for renovation, since April 22, 2010, which included a disturbance of a painted surface or window replacement of a pre-1978 house, apartment, daycare or kindergarten, provide copies of the following information:
 - a. All contracts, invoices, work orders, and/or agreements;
 - b. Any documents that indicate the age of the renovated property;
 - c. All signed and dated acknowledgments of receipt of the Renovate Right Pamphlet by the owner or occupant of a property or residential unit and/or common area of Property, certificates of attempted delivery, or certificates of mailing; and
 - d. All documentation related to the renovation showing training of workers, how the work area was identified and controlled, how the property renovation work was conducted, how the work area was cleaned, how it was determined that the property renovation work was properly completed, and how property renovation waste and debris were managed and disposed.
- 5. For each property renovation performed by you, where lead-safe work practices were not performed, provide copies of any reports certifying that a determination has been made that lead-based paint is not present in the area affected by the renovation.
- 6. For each property renovation performed by you where a lead test kit was used, provide copies of the following information:
 - a. Any records of test kits used including the manufacturer and model, the components tested, where each component was located, and the test results; and

- b. Documentation that any test kit records and information were sent to the person(s) who contracted the property renovation, and provide the date that information was mailed.
- 7. Provide documentation concerning any emergency renovations performed by you.
- 8. For each property renovation performed by you since April 22, 2010, are you aware if any of the properties contained any lead-based paint or lead-based paint hazards before the renovation was performed? If yes, specify for which Property and state the date(s) on which you became aware of such lead-based paint or lead-based paint hazards and the basis for such knowledge.
- 9. For each property renovation performed by you since April 22, 2010, are you aware if any individual residing in the Property where renovation was performed had a lead-related health problem or had a blood lead level of 10 or greater? For each person identified, indicate when that person lived in the Property, how you became aware of the lead problem, and give any specifics known to you about the severity of the lead problem such as the numerical blood lead level.
- 10. For any property for which you are unable to provide documentation of compliance with the Renovation, Repair, and Painting Rule, explain the reason why such documentation does not exist or is unavailable.

IN THE MATTER OF:

Daniel A. Crump Crump Installations PO Box 94 Boody, Illinois 62514

Declaration Responding to Information Request

I, the undersigned, state as follows:

- I have made a diligent search and inquiry for all information and documents that are requested in the request for information to which this declaration responds. I have not found or been told about, and have no knowledge of, any information or documents requested by the request for information that I have not identified, copied, and submitted along with this declaration. I have not destroyed any requested documents or transferred any requested documents to any other person or party in the last three years.
- 2. Attached to this declaration are _____ pages of document photocopies. The attached pages are true, complete, and correct copies of documents that I presently have in my custody or control either personally, or as an agent, representative, or employee of a business entity in which I had or have a financial or ownership interest.
- 3. The information and documents were prepared and kept, or were received and kept, in the usual course of regularly conducted business.
- 4. All the responses to the inquiries contained in the request for information are true, complete, and correct.

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on			
	Date		
Signature:			
		•	
		•	
Office or Title:			



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590

MAY 2 9 2012

REPLY TO THE ATTENTION OF

LC-8J

CERTIFIED MAIL

Receipt No. 7009 1680 0000 7673 4585

Mr. Richard E. Waller Crump Installations PO Box 94 Boody, Illinois 62514

Re: Request for Information

Dear Mr. Waller:

The U.S. Environmental Protection Agency, Region 5, is contacting you in response to a complaint received by our office that alleges non-compliance with the Lead Renovation, Repair, and Painting Program Rule (RRP Rule), and the Lead Based Paint Pre-Renovation Education Rule (PRE Rule). Together the RRP Rule and PRE Rule are known as the Residential Property Renovation Rule, and are codified at 40 C.F.R. Part 745, Subpart E.

The Residential Property Renovation Rule requires that anyone who performs a renovation for compensation in housing built prior to 1978 (target housing) or in a child-occupied facility must provide an EPA lead-hazard information pamphlet to the owner and occupant of the housing prior to commencing the renovation activity, must have received certification as both a renovator and firm prior to commencing the renovation activity, and must follow specified warning, containment, work practice, cleanup and clearance requirements during the renovation.

EPA needs additional information to determine your compliance with the Residential Property Renovation Rule; therefore, we have enclosed a Request for Information (Enclosure 1). We have also enclosed a declaration (Enclosure 2) for you to complete and return, along with any documents, and your responses to questions.

Pursuant to the regulations appearing at 40 C.F.R., Part 2, Subpart B, you are entitled to assert a business confidentiality claim covering any part of the submitted information. Unless such a confidentiality claim is asserted at the time the required information is submitted, EPA may make this information available to the public without further notice to you. Information subject to a business confidentiality claim may be made available to the public only to the extent set forth in the above-cited regulations. Any claim for confidentiality must conform to the requirements set forth in 40 C.F.R. § 2.203(b).

	•						·	
·								
		•				* .		•
,								
				•				
				•				
			•				•	
	•		v	·		•		
					<i>t</i> •			
					•			
	•							
					•			
			N.				•	
•								

Even though the EPA may determine, under the criteria provided by 40 C.F.R. § 2.208, that certain information may not be entitled to business confidential treatment, the EPA may nevertheless decide that disclosure of this information may constitute an invasion of privacy and, on that basis, may withhold it from disclosure to the general public. Such personal privacy information may include social security numbers, current addresses, or other personal information related to a customer. It is not necessary for a business confidentiality claim to be made for EPA to withhold personal privacy information. Whether you choose to make a business confidentiality claim or not, please clearly indicate personal privacy information contained in your response so that EPA can evaluate whether the information constitutes an invasion of privacy.

EPA may use the information you provide in response to this request in an administrative, civil or criminal action. This request for information is not subject to the requirements of the Paperwork Reduction Act, 44 U.S.C. § 3501, et seq., because it seeks the collection of information from a specific individual or entity as part of an administrative action or investigation.

Please submit the requested information to:

Paul Fericelli, Enforcement Officer (LC-8J) Pesticides and Toxics Compliance Section U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, Illinois 60604

Mr. Fericelli can be contacted by telephone at (312) 886-1824.

Your response to this Request for Information is voluntary. If you choose to respond, please do so within 15 days of receipt of this letter. If we have not received your response to this letter or you otherwise have not contacted us within this period, EPA will presume that you choose not to respond to this request. If you choose not to respond, EPA will consider other options for obtaining this information, and may issue a subpoena under authority of Section 11(c) of TSCA, 15 U.S.C. § 2610(c), seeking the same or similar information.

We have also enclosed an information sheet entitled "U.S. EPA Small Business Resources," which you may find helpful if you are a small business.

Thank you for your cooperation in this matter.

Sincerely,

David Star

Chief.

Pesticides and Toxics Compliance Section

Enclosures (3)

REQUEST FOR INFORMATION

I. INSTRUCTIONS

This information request letter covers all information, documents and/or records described below that are in your possession or control, or in the possession or control of your current or former employees, agents, contractors, accountants or attorneys. If you choose to provide documents in an electronic format, all responsive documents must be provided in Portable Document Format (PDF) or similar format.

II. **DEFINITIONS**

The terms used in this Information Request Letter have the meanings given in Section 402(b) and 402(c)(3) of the Toxic Substances Control Act and 40 C.F.R. Part 745, Subparts E, F, and L.

- 1. Firm means a company, partnership, corporation, sole proprietorship or individual doing business, association, or other business entity; a Federal, State, Tribal, or local government agency; or a nonprofit organization.
- 2. Renovation means the modification of any existing structure, or portion thereof, that results in the disturbance of painted surfaces, unless that activity is performed as part of an abatement as defined by 40 C.F.R. § 745.223. The term renovation includes but is not limited to: the removal, modification, or repair of painted surfaces or painted components (e.g., modification of painted doors, surface restoration, window repair, surface preparation activity (such as sanding, scraping, or other such activities that may generate paint dust)); the removal of building components (e.g., walls, ceilings, plumbing, windows); weatherization projects (e.g., cutting holes in painted surfaces to install blown-in insulation or to gain access to attics, planing thresholds to install weather stripping); and interim controls that disturb painted surfaces.
- 3. Renovator means an individual who either performs or directs workers who perform renovations. A certified renovator is a renovator who has successfully completed a renovator course accredited by EPA or an EPA-authorized State or Tribal program.
- 4. Target housing means any housing constructed prior to 1978, except housing for the elderly or persons with disabilities (unless any child who is less than 6 years of age resides or is expected to reside in such housing) or any 0-bedroom dwelling, as defined in Section 1004(27) of the Residential Lead-Based Paint Hazard Reduction Act of 1992, 42 U.S.C. § 4851, and 40 C.F.R. § 745.103.

III. INFORMATION AND DOCUMENTS TO BE PROVIDED TO EPA

The information requested covers all renovation projects of target housing and child-occupied facilities for compensation performed by you since <u>April 22, 2010</u>, unless otherwise specified, in the States of Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin.

- 1. Provide a description of the type of work that your firm performs.
- 2. How many people are employed at your firm?
- 3. Provide a copy of the following:
 - a. Your EPA RRP firm certification; and
 - b. Your renovator certification issued by a training provider showing completion of a renovator lead safe training course.
- 3. For each renovation/contracting job you have done, including subcontracting for renovation, since April 22, 2010, which included a disturbance of a painted surface or window replacement of a pre-1978 house, apartment, daycare or kindergarten, provide copies of the following information:
 - a. All contracts, invoices, work orders, and/or agreements;
 - b. Any documents that indicate the age of the renovated property;
 - c. All signed and dated acknowledgments of receipt of the Renovate Right Pamphlet by the owner or occupant of a property or residential unit and/or common area of Property, certificates of attempted delivery, or certificates of mailing; and
 - d. All documentation related to the renovation showing training of workers, how the work area was identified and controlled, how the property renovation work was conducted, how the work area was cleaned, how it was determined that the property renovation work was properly completed, and how property renovation waste and debris were managed and disposed.
- 4. For each property renovation performed by you, where lead-safe work practices were not performed, provide copies of any reports certifying that a determination has been made that lead-based paint is not present in the area affected by the renovation.
- 5. For each property renovation performed by you where a lead test kit was used, provide copies of the following information:
 - a. Any records of test kits used including the manufacturer and model, the components tested, where each component was located, and the test results; and

- b. Documentation that any test kit records and information were sent to the person(s) who contracted the property renovation, and provide the date that information was mailed.
- 6. Provide documentation concerning any emergency renovations performed by you.
- 7. For each property renovation performed by you since April 22, 2010, are you aware if any of the properties contained any lead-based paint or lead-based paint hazards before the renovation was performed? If yes, specify for which Property and state the date(s) on which you became aware of such lead-based paint or lead-based paint hazards and the basis for such knowledge.
- 8. For each property renovation performed by you since April 22, 2010, are you aware if any individual residing in the Property where renovation was performed had a lead-related health problem or had a blood lead level of 10 or greater? For each person identified, indicate when that person lived in the Property, how you became aware of the lead problem, and give any specifics known to you about the severity of the lead problem such as the numerical blood lead level.
- 9. For any property for which you are unable to provide documentation of compliance with the Renovation, Repair, and Painting Rule, explain the reason why such documentation does not exist or is unavailable.

IN THE MATTER OF:

Richard E. Waller Crump Installations PO Box 94 Boody, Illinois 62514

Declaration Responding to Information Request

I, the u	ndersigned, state as follows:
1.	I have made a diligent search and inquiry for all information and documents that are requested in the request for information to which this declaration responds. I have not found or been told about, and have no knowledge of, any information or documents requested by the request for information that I have not identified, copied, and submitted along with this declaration. I have not destroyed any requested documents or transferred any requested documents to any other person or party in the last three years.
2.	Attached to this declaration are pages of document photocopies. The attached pages are true, complete, and correct copies of documents that I presently have in my custody or control either personally, or as an agent, representative, or employee of a business entity in which I had or have a financial or ownership interest.
3.	The information and documents were prepared and kept, or were received and kept, in the usual course of regularly conducted business.
4.	All the responses to the inquiries contained in the request for information are true, complete, and correct.
	nt to 28 U.S.C. § 1746, I declare under penalty of perjury under the laws of the United of America that the foregoing is true and correct.
Execu	ted onDate

Signature:	
Office or Title:	





UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590

REPLY TO THE ATTENTION OF:

CERTIFIED MAIL Receipt No. 7009 1680 0000 7676 8511

Clear Choice Windows & Siding, Inc. 3010 East Ash Street Springfield, Illinois 62703 Attn.: Mr. Roy J. Herman

Re: Notice of Intent to File Administrative Complaint

Dear Mr. Herman:

The U.S. Environmental Protection Agency, Region 5, plans to file an administrative complaint for civil penalties against Clear Choice Windows & Siding, Inc. (Respondent or you), with a place of business located at 3010 East Ash Street in Springfield, Illinois. We will allege that you violated EPA's regulations implementing the Residential Lead-Based Paint Hazard Reduction Act of 1992, which enacted, among other things, Sections 401-412 of the Toxic Substances Control Act (TSCA), 15 U.S.C. §§ 2681-2692. As the basis for creating this law, Congress stated that:

- Low-level lead poisoning is widespread among American children, afflicting as many as 3,000,000 children under the age of 6.
- At low levels, lead poisoning in children causes intelligence deficiencies, reading and learning disabilities, impaired hearing, reduced attention span, hyperactivity, and behavior problems.
- The ingestion of household dust containing lead from deteriorating or abraded leadbased paint is the most common cause of lead poisoning in children.
- The dangers posed by lead-based paint hazards in approximately 3,800,000 American homes can be reduced by abating lead-based paint, preventing paint deterioration, and limiting children's exposure to lead dust and chips.
- A purpose of this law is to educate the public concerning the hazards and sources of lead-based paint poisoning and steps to reduce and eliminate the hazards.

Key components of the national strategy to reduce and eliminate the threat of childhood lead poisoning include reducing the risks posed by common renovation activities like sanding, cutting and demolition. To reduce these risks and to implement TSCA, EPA issued regulations known as the Renovation, Repair, and Painting Program Rule (RRP Rule) at 40 C.F.R. Part 745, Subparts E, L and Q, and regulations known as the Pre-Renovation Education Rule (PRE Rule), at 40 C.F.R. Part 745, Subpart E.

If conducted improperly, renovations in housing with lead-based paint can create serious health hazards to workers and occupants by releasing large amounts of lead dust and debris. Under the RRP Rule, since April 22, 2010, EPA has required firms performing renovation, repair and painting projects that disturb lead-based paint in pre-1978 homes, childcare facilities and schools: to be certified by EPA; to use certified renovators who are trained by EPA-approved training providers to follow lead-safe work practices; and to follow lead-safe work practices when they perform renovations. In addition, the PRE Rule requires each person who performs for compensation a renovation of target housing to provide a lead hazard information pamphlet to the owner and occupant of such housing prior to commencing the renovation.

Based on the information currently available to us, we will allege 132 violations of the RRP in several renovations conducted in Illinois. These regulatory violations include the following: failure of a firm that performs, offers or claims to perform renovations for compensation to obtain initial certification from EPA pursuant to 40 C.F.R. § 745.81(a)(2)(ii); failure to provide signed and dated records acknowledging owner's or occupant's receipt of EPA-approved pamphlet no more than 60 days before beginning renovation activities pursuant to 40 C.F.R. § 745.86(b)(2) and (b)(4); and failure to retain all records pecessary to demonstrate compliance with the residential property renovation requirements for a period of 3 years following completion of the renovation activities pursuant to 40 C.F.R. § 745.86(b)(6).

For the health and safety reasons stated above, we consider these violations to be very serious. We plan to propose a penalty of \$282,540 for these violations. In developing the proposed penalty, we considered the particular facts and circumstances of the case as well as EPA's penalty policy. We may also consider penalty mitigation in cases where a violator performs a Supplemental Environmental Project not otherwise required by law, such as a project that helps mitigate lead hazards in some other way.

This letter is not a demand to pay a penalty. We will not ask you to pay a penalty until we file the Complaint or a Final Order. Before filing the complaint, we are giving you the opportunity to present any information that you believe we should consider. Relevant information might include whether the affected properties were constructed after 1978, evidence that you did not violate the law, evidence that you relied on compliance assistance from EPA or a state agency, evidence that we identified the wrong party or financial information bearing on your ability to pay a penalty.

EPA has instituted a pilot program to reduce certain lead-based paint penalties for very small businesses with annual sales or gross pre-tax revenue of \$300,000 or less ("micro-businesses"). If you believe that you may qualify as a micro-business or are otherwise unable to pay a \$282,540 penalty because of financial reasons, please fill out the enclosed "Request for

Transcript of Tax Return Form" (IRS Form 4506-T) and send any supporting documentation it requires, including, but not limited to, the signed company's income tax returns with all schedules and amendments for the past 3 years.

We have enclosed an information sheet titled "U.S. EPA Small Business Resources", which may be helpful if you qualify as a small business.

You may assert a claim of business confidentiality under 40 C.F.R. Part 2, Subpart B, for any portion of the information you submit to us, including the information you have already submitted. Information subject to a business confidentiality claim is available to the public only to the extent allowed by 40 C.F.R. Part 2, Subpart B. If you fail to assert a business confidentiality claim, EPA may make all submitted information available, without further notice, to any member of the public who requests it.

Even though EPA may determine, under the enteria provided by 40 C.F.R. § 2.208, that certain information is not entitled to business confidential treatment, EPA may nevertheless decide that disclosure of this information may constitute an invasion of privacy and, on that basis, may withhold it from disclosure to the general public. Such personal privacy information may include personal information related to individuals who contracted with you to conduct a renovation. It is not necessary for a business confidentiality claim to be made for EPA to withhold personal privacy information. Whether you choose to make a business confidentiality claim or not, please clearly indicate personal privacy information contained in your response so that EPA can evaluate whether the information constitutes an invasion of privacy.

We may use any information you submit in support of an administrative, civil or criminal action.

Within 10 calendar days after you receive this letter, please send your response to:

Paul Fencelli, Enforcement Officer (LC-8J)
Pesticides and Toxics Compliance Section
U.S. EPA, Region 5
77 West Jackson Boulevard
Chicago, Illinois 60604

We plan to file the complaint against you 14 calendar days after you receive this letter, unless you give us information that the complaint is not substantially justified. If you have any questions, please telephone Mr. Fericelli at (312) 886-1824. Thank you for your prompt attention to this matter.

Sincerely,

Mardi Klevs

Chief

Chemicals Management Branch Land and Chemicals Division

March (Cler

Enclosures



RE: US EPA inquiry

Allen Vogt

to:

Paul Fericelli

09/06/2012 10:16 AM

Hide Details

From: Allen Vogt <assessors@morgancounty-il.com>

To: Paul Fericelli/R5/USEPA/US@EPA

History: This message has been replied to.

1 Attachment



image001.gif

Paul,

Here is the approximate ages for the homes on your list.

ИО	Approx. Age
1	1907
2	1920
3	1977
4	1915
5	1975
6	1907
7	1910
8	1960
9	1976
10	1930
11	1930
12	1968
13	1960
14	1910



15	1987
16	1930
17	1930
18	1930
19	1940
20	1973
21	1910
22	1967
23	1976
24 .	1920
25	1957
26	19 50
27	1915
28	1980
29	1930
30	1967
31	1930
32	1940
33	1925
34	1910
35	1925
36	1930
37	1920
38	1959
39	1947
40	1951
41	1991
42	1910
43	1930
44	1978

Allen D. Vogt

CCAO

Morgan County, IL.

From: Paul Fericelli [mailto:Fericelli.Paul@epamail.epa.gov]

Sent: Wednesday, September 05, 2012 3:56 PM

To: Allen Vogt

Subject: RE: US EPA inquiry

Importance: High

Allen:

Thanks for your assistance in this matter. Here is the list of the properties.

--- Paul

No.	Àddress	City	Zip Code	Parcel No.
	1751 Woodson	Murrayville	62668	12-36-100-001
	277 W Prairie	Waverly	62692	19-02-304-013
3	300 Pine	Concord	62631	03-29-106-002
4	405 State St	Concord	62631	03-30-201-002
5	10 High St	Lynville	62650	12-05-100-014
6	1716 Apples Rd	Chapin	62628	08-04-300-001
7	128 E Oak	Jacksonville		09-16-100-012
8	1320 S Clay Ave	Jacksonville	62650	09-28-107-033
9	2380 Old State Road	Jacksonville	62650	10-18-300-001
10	1502 S Main	Jacksonville	62650	09-28-301-031
<u>†</u> 1	1135 S East St	Jacksonville	62650	09-28-102-016
12	618 Hall Dr	Jacksonville	62650	09-29-307-011
13	8 N Crescent Dr	Jacksonville	62650	09-28-112-002
14	734 Bedwell	Jacksonville	62650	09-17-313-005
15	14 Appomatox Ave.	Jacksonville	62650	09-18-306-007
16	521 S East St.	Jacksonville	62650	09-21-310-019
17	741 S Church	Jacksonville	62650	09-20-406-007
18	726 S Church	Jacksonville	62652	09-20-417-032
19	518 S Church	Jacksonville	62650	09-20-415-018
20	401 E Chambere	.tacksonville:	62650	09-21-316-048
21	419 Sherman	Jacksonville	62650	09-21-201-003
22	20 Метгудгоче	Jacksonville	62650	08-24-220-003
	14 Bonnie Lane	Jacksonville	62650	09-29-408-039
24	709 W Douglas Ave.	Jacksonville	62650	09-20-204-001
	1423 W Walnut	Jacksonville	62650	09-18-401-020
26	853 Hardin Ave	Jacksonville	62650	09-28-109-009
27	585 Pine Street	Jacksonville	62650	09-17-308-023
28	16 Arbor Drive	Jacksonville	62650	08-24-207-024
29	234 E Michigan Ave	Jacksonville	62650	09-28-104-024
	7 Westfair Dr	Jacksonville	62650	09-19-102-035
31	876 Routt St	Jacksonville	62650	09-21-401-005
+	247 N Webster Ave	Jacksonville	62650	09-19-207-035
33	1585 State Highway 78 North	Jacksonville	62650	09-08-400-013
	1654 N MT Zion Rd.	Jacksonville	62650	08-02-100-006
	146 Pine St.	Jacksonville	62650	09-20-109-001
	1312 W State	Jacksonville	62650	09-19-207-023
	1808 Old Airport Road	Jacksonville	62650	12-12-400-019
	6 Sunnydale Ave.	Jacksonville	62650	08-24-413-023
	1404 S Main St	Jacksonville	62650	09-28-301-001
	13 Turner Rd.	Jacksonville	62650	09-19-411-012
	24 Appornatox Ave.	Jacksonville	62650	09-18-306-012
	735 E College Ave.	Jacksonville	62650	09-21-207-002
	703 S West St.	Jacksonville	62650	09-20-417-011
	2088 New Lake Rd.	Jacksonville	62650	13-09-201-011

Paul Fericelli

Environmental Engineer / Enforcement Officer Pesticides and Toxics Compliance Section Land and Chemicals Division US Environmental Protection Agency Region 5 - Chicago, IL Direct: (312) 886-1824 Fax: (312) 385-5319

"The main step to discover new methods consists in exploring new ideas"

CONFIDENTIALITY NOTICE

This message is intended exclusively for the individual(s) of entity(s) to which it is addressed. This communications may contain information that is proprietary, privileged, or confidential or otherwise legally exempt from disclosure. If you are not the named addressee, you are not authorized to read, print, retain, copy, or disseminate this message or any part of it. If you have received this message in error, please notify the sender immediately by small and delete all copies of the message.



Please consider the environment before printing this message.

From: Allen Vogt <assessors@morgancounty-il.com>

To: Paul Fericelli/R5/USEPA/US@EPA

Date: 08/30/2012 10:25 AM
Subject: RE: US EPA inquiry

Paul,

No charge for a government agency to get the information. Email me a list of the properties, and I will get someone working on the project today.

Allen

From: Paul Fericelli [mailto:Fericelli.Paul@epamail.epa.gov]

Sent: Thursday, August 30, 2012 10:09 AM

To: Allen Vogt

Subject: RE: US EPA inquiry

Mr. Vogt

Thank you for the information. Is there any charge for this? I have 43 properties.

-- Paul

Paul Fericelli

Environmental Engineer / Enforcement Officer Pesticides and Toxics Compliance Section Land and Chemicals Division US Environmental Protection Agency Region 5 - Chicago, IL

Direct: (312) 886-1824 Fax: (312) 385-5319

"The main step to discover new methods consists in exploring new ideas"

CONFIDENTIALITY NOTICE

This message is intended exclusively for the individual(s) of entity(s) to which it is addressed. This communications may contain information that is proprietary, privileged, or confidential or otherwise legally exempt from disclosure. If you are not the named addressee, you are not authorized to read, print, retain, copy, or disseminate this message or any part of it. If you have received this message in error, please notify the sender immediately by email and delete all copies of the message.



Please consider the environment before printing this message.

From:

Allen Vogt <assessors@morgancounty-il.com>

Date:

Paul Fericelli/R5/USEPA/US@EPA

Subject:

08/30/2012 10:02 AM RE: US EPA inquiry

Mr. Fericelli:

The information on properties built before 1978 would have to be requested through our office. This research would take some time to gather.

Allen D. Vogt CCAO

Morgan County, IL.

From: Paul Fericelli [mailto:Fericelli.Paul@epamail.epa.gov]

Sent: Thursday, August 30, 2012 8:18 AM **To:** assessors@morgancounty-il.com

Subject: US EPA inquiry **Importance:** High

Dear Assessor.

The U.S. Environmental Protection Agency would like to ask your assistance in determining if properties located in Morgan county were built before 1978. I visited the *beacon website* and after doing the search could not find this information in the report. Is this information available online or need to be requested to your office? Any guidance in this matter is appreciated. Thank you.

Sincerely,

Paul Fericelli

Environmental Engineer / Enforcement Officer Pesticides and Toxics Compliance Section Land and Chemicals Division
US Environmental Protection Agency
Region 5 - Chicago, IL
Direct: (312) 886-1824
Fax: (312) 385-5319

"The main step to discover new methods consists in exploring new ideas"

CONFIDENTIALITY NOTICE

This message is intended exclusively for the individual(s) of entity(s) to which it is addressed. This communications may contain information that is proprietary, privileged, or confidential or otherwise legally exempt from disclosure. If you are not the named addressee, you are not authorized to read, print, retain, copy, or disseminate this message or any part of it. If you have received this message in error, please notify the sender immediately by email and delete all copies of the message.



Please consider the environment before printing this message.

	÷			
	·		**	·
				·
			•	٠.
			·	